

08/17/01



S&H Form: PTO/SB/05 (2/01)

CONTINUING (1.53(b)) UTILITY PATENT APPLICATION TRANSMITTAL

(Only for continuing applications under 37 CFR 1.53(b))

Attorney Docket No.
1316.1021CC

First Named Inventor:
Jang-Hoon YOO et al.

Title: OPTICAL PICKUP COMPATIBLE WITH A DIGITAL
VERSATILE DISK AND A RECORDABLE COMPACT
DISK USING A HOLOGRAPHIC RING LENS

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600
concerning utility
patent application contents.

ADDRESS TO:

**Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract [Total Pages: 13]
3. ☒ Drawing(s) (35 USC 113) [Total Sheets: 5] [FIGS. 1-7]
4. ☒ Oath or Declaration [Total Pages: 1]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☐ Verified Statement Claiming Small Entity Status
7. ☒ Incorporation by Reference (usable if Box 4b is checked)
The entire disclosure of the prior application (identified in Item 20), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8. ☐ Application Data Sheet. See 37 C.F.R. 1.76
9. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
10. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies
11. ☒ Assignment to Samsung Electronics Co., Ltd of Suwon-city, Korea at Reel 9729, Frame 0709 (for publication of assignee information under 37 CFR 1.215(B))

☐ for publication of assignee information under 37 CFR 1.215(b)

11050 U.S. PTO
09/930964
08/17/01

ACCOMPANYING APPLICATION PARTS

12. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☒ Foreign priority benefit under 35 U.S.C. §119 is claimed.
 - a. ☒ Certified Copy of Priority Document(s) filed in prior application No. 09/049,988.
 - b. ☐ Certified Copy of Priority Document(s) enclosed.
 - c. ☐ Certified Copy of Priority Document(s) to follow.
15. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
16. ☐ Preliminary Amendment
 - a. ☐ enclosed herewith.
 - b. ☐ incorporated herein (see Box 20).
17. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
18. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
19. ☐ Other:

The PTO did not receive the following
listed item(s) Assignment

20. CONTINUING APPLICATION, check appropriate box and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/419,792.

Prior application information: Examiner: M. Edun
Group/Art Unit: 2651

REMARKS

In accordance with the foregoing, the specification has been amended to reference the parent application, and to comply with new rules regarding the preferred format of the application. Original claims 1 and 36-40 from the parent application have been cancelled without prejudice or disclaimer, and claims 42-47 have been newly added and renumbered as claims 1-6 for the purposes of publication. No new matter is presented.

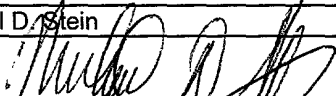
21. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171



21171

PATENT TRADEMARK OFFICE

22. SIGNATURE OF ATTORNEY OR AGENT

NAME	Michael D. Stein	REGISTRATION NO.	37,240
SIGNATURE		DATE	August 17, 2001

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